

## **Patient Reported Outcomes and Minimum Clinically Important Difference Achievement Following Lumbar Decompression in Patients with Workers' Compensation versus Private Insurance**

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### **INTRODUCTION:**

Studies have reported that workers' compensation (WC) is associated with poorer clinical outcomes following spinal surgical procedures. However, differences in patient reported outcomes following minimally invasive lumbar decompression (MIS LD) among patients with private insurance (PI) versus WC have not been well studied. We aim to evaluate the difference in patient reported postoperative outcomes between patients with private insurance versus workers' compensation following MIS LD.

**METHODS:** A prospectively maintained surgical database was retrospectively reviewed for lumbar procedures performed between November 2005 and March 2021. Inclusion criteria were set as primary MIS LD procedures. Patients undergoing a revision procedure, or surgery indicated for infectious, malignant, or traumatic etiologies were excluded. Patients with Medicaid or Medicare were excluded. Patients were divided into two groups by insurance variant: WC versus PI. Patient demographics, perioperative characteristics, and patient reported outcome measures (PROM) were collected. PROMs evaluated include Patient-Reported Outcome Measurement Information System Physical Function (PROMIS PF), Visual Analogue Scale (VAS) back, VAS leg, Oswestry Disability Index (ODI), 12-Item Short Form (SF-12) Mental Composite Score (MCS), and SF-12 Physical Composite Score (PCS), with values collected preoperatively and at several postoperative time points. PROM score changes from preoperative baseline were compared with established threshold values to assess the rate of minimum clinically important difference (MCID) achievement among patients with PI versus WC. Differences in mean PROM scores and delta values at each time point were evaluated using an unpaired Student's t-test. The impact of WC or PI status on MCID achievement was evaluated via chi-square analysis.

**RESULTS:** An initial 503 patients were eligible for this study with 164 patients in the WC group and 339 patients in the PI group. Following propensity score matching, a total of 169 patients were included with 135 PI and 34 WC. The final cohort had a mean age of 45.9 with the majority being male (69.2%). WC groups only demonstrated significant differences in mean delta SF-12 PCS and VAS back at 6-week and 12-week time points, and 6-week time point, respectively ( $p \leq 0.044$ , both). Mean PROMIS PF was significantly different between groups at the preoperative, 6-weeks, and 12-weeks ( $p \leq 0.015$ , all). Mean SF-12 PCS was significantly different between groups at the preoperative, 6-weeks, 12-weeks, 6-months, and 2-year time points ( $p \leq 0.023$ , all). Mean SF-12 MCS was significantly different between groups at the preoperative, 6-weeks, 12-weeks, and 2-year time points ( $p \leq 0.019$ , all). Mean ODI demonstrated differences at preoperative, 6-week, 12-week, and 6-month points ( $p \leq 0.034$ , all). Significant changes from preoperative PROMs were noted in WC patients for SF-12 PCS at 6-weeks and VAS back at 12-weeks ( $p \leq 0.034$ , all). Mean VAS back and leg demonstrated differences at 6-week and 12-weeks, and 6-week time points, respectively ( $p \leq 0.017$ , all). Additionally, WC patients had lower MCID achievement for PROMIS PF at 6-week and SF-12 PCS at 2-year time points, respectively ( $p \leq 0.049$ , all), only.

### **DISCUSSION AND CONCLUSION:**

In patients undergoing MIS LD procedures, WC patients reported significantly worse disability and pain at earlier postoperative time points when compared to PI patients. Additionally, WC patients had significantly different rates of MCID achievement for PROMIS-PF and SF-12 PCS but did not significantly differ from their PI patient counterparts in MCID achievement for other PROM outcomes. Further study needs to be conducted to explore this relationship.

**Table 1. Matched Patient Demographics**

Characteristic	Total (n=149)	Private Insurance (n=135)	Workers' Compensation (n=14)	*p-value
Age (mean ± SD, yr)	45 ± 13.2	45 ± 13.1	45 ± 13.2	0.91
BMI (mean ± SD, kg/m <sup>2</sup> )	29.7 ± 6.4	28.5 ± 6.3	32.0 ± 6.2	<b>0.003</b>
Gender				0.068
Female	30.8% (51)	34.1% (46)	17.9% (6)	
Male	69.2% (117)	65.9% (89)	82.1% (28)	
Ethnicity				<b>&lt;0.001</b>
Caucasian	76.2% (128)	83.0% (112)	48.5% (16)	
African American	11.3% (19)	7.4% (10)	23.9% (9)	
Hispanic	8.3% (14)	5.2% (7)	21.2% (8)	
Asian	3.6% (6)	4.4% (6)	0.0% (0)	
Other	0.6% (1)	0.0% (0)	3.0% (1)	
Diabetic Status				0.757
Non-Diabetic	92.9% (157)	92.6% (125)	94.3% (32)	
Diabetic	7.1% (12)	7.4% (10)	5.7% (2)	
Smoking Status				0.063
Non-Smoker	79.3% (134)	82.2% (111)	67.9% (23)	
Smoker	20.7% (35)	17.8% (24)	32.1% (11)	
ASA Classification				0.090
1	43.2% (73)	45.9% (62)	32.3% (11)	
2	45.7% (77)	44.6% (60)	50.0% (17)	
3	11.2% (19)	9.6% (13)	17.8% (6)	
CCI Score (mean ± SD)	1.1 ± 1.2	1.1 ± 1.2	1.3 ± 2.2	0.459
Hypertension				0.060
Non-Hypertensive	79.3% (134)	82.2% (111)	67.9% (23)	
Hypertensive	20.7% (35)	17.8% (24)	32.1% (11)	

ASA = American Society of Anesthesiologists, CCI = Charlson Comorbidity Index; BMI = body mass index; SD = standard deviation

Matched on BMI, gender, ethnicity, preoperative PROM, smoking status, ASA, hypertension

\*p-value calculated using chi-square test or student's t-test

**Boldface** indicates statistical significance

**Table 2. Perioperative Characteristics**

Characteristic	Total (n=149)	Private Insurance (n=135)	Workers' Compensation (n=14)	*p-value
Spinal Pathology				
L5/S1	86.1% (124)	87.0% (101)	78.6% (22)	0.109
L4/5	88.6% (128)	88.8% (101)	89.3% (25)	0.941
Extremal Stenosis	79.8% (120)	79.2% (105)	85.7% (12)	0.366
Number of Operative Levels				0.088
1-level	82.0% (117)	84.0% (96)	75.0% (21)	
2-levels	16.3% (23)	15.0% (17)	21.4% (6)	
3-levels	0.7% (1)	0.0% (0)	3.6% (1)	
Operative Time (Mean ± SD, min)	45:51 ± 14.3	45:51 ± 13.8	46:8 ± 11.0	0.101
Estimated Blood Loss (Mean ± SD, mL)	25.5 ± 5.6	25.5 ± 5.0	25.1 ± 5.2	0.988
Length of Stay (Mean ± SD, days)	1.7 ± 0.9	1.6 ± 0.9	1.6 ± 0.8	0.42
Day of Discharge				0.825
POD1	99.3% (14)	98.2% (22)	91.0% (22)	
POD2	9.5% (12)	9.8% (10)	8.3% (2)	

HIV = human immunodeficiency virus, HIV = postoperative day

\*p-value calculated using chi-square test or student's t-test

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**Table 3. Mean Patient Reported Outcomes by Worker's Compensation Status**

PROM	Private Insurance Mean ± SD	Workers' Compensation Mean ± SD	Δ Mean ± SD	*p-value
<b>PROMIS PF</b>				
Preoperative	32.1 ± 6.5	33.3 ± 4.4	-	<b>0.018</b>
6-weeks	42.9 ± 7.9	61.1 ± 8.8	36.6 ± 12	1.7 ± 3.9
12-weeks	60.4 ± 10.0	61.6 ± 10.8	32.1 ± 8.3	2.0 ± 4.0
6-months	45.7 ± 10.7	52.1 ± 12.2	30.8 ± 7.8	6.5 ± 8.7
1-year	67.0 ± 11.2	67.7 ± 12.7	41.0 ± 9.4	6.6 ± 6.6
2-year	49.3 ± 6.4	53.3 ± 10.3	41.6 ± 12.9	10.8 ± 11.8
Overall				<b>0.115</b>
<b>SF-12 PCS</b>				
Preoperative	31.1 ± 5.8	27.9 ± 7.6	-	<b>0.014</b>
6-weeks	40.2 ± 5.5	63.1 ± 8.5	31.7 ± 8.2	2.9 ± 8.8
12-weeks	45.4 ± 10.9	61.1 ± 12.8	31.9 ± 7.7	4.7 ± 7.2
6-months	42.4 ± 11.8	68.4 ± 12.9	39.9 ± 7.2	5.4 ± 9.3
1-year	62.1 ± 11.0	69.6 ± 15.4	39.6 ± 10.9	12.7 ± 12.8
2-year	47.9 ± 7.9	55.1 ± 11.8	34.9 ± 17.7	10.8 ± 20.4
Overall				<b>0.023</b>
<b>MC15 MCS</b>				
Preoperative	46.3 ± 11.6	44.4 ± 11.8	-	<b>&lt;0.001</b>
6-weeks	52.2 ± 8.8	51.1 ± 11.0	47.4 ± 10.7	5.0 ± 7.8
12-weeks	56.9 ± 8.1	66.4 ± 10.8	47.9 ± 12.9	23.4 ± 6.6
6-months	55.1 ± 8.5	73.1 ± 9.8	52.1 ± 10.8	44.0 ± 9.7
1-year	56.8 ± 8.3	73.1 ± 11.2	40.7 ± 13.4	51.1 ± 11.2
2-year	52.2 ± 9.0	58.1 ± 10.1	57.0 ± 10.4	44.5 ± 9.0
Overall				<b>0.025</b>
<b>VAS Back</b>				
Preoperative	5.8 ± 2.1	-	4.4 ± 2.6	-
6-weeks	2.4 ± 2.2	3.2 ± 1.4	4.0 ± 2.7	2.0 ± 2.0
12-weeks	2.1 ± 2.5	3.4 ± 1.4	4.6 ± 1.5	1.8 ± 1.2
6-months	3.9 ± 1.5	2.6 ± 1.9	4.0 ± 1.9	2.7 ± 1.3
1-year	3.0 ± 2.9	3.1 ± 2.7	3.4 ± 1.2	3.8 ± 3.1
2-year	3.5 ± 2.7	2.9 ± 3.0	3.4 ± 1.4	4.3 ± 3.5
Overall				<b>0.109</b>
<b>VAS Leg</b>				
Preoperative	5.9 ± 2.7	-	4.0 ± 2.7	-
6-weeks	2.8 ± 2.4	3.2 ± 1.7	3.7 ± 4.0	2.4 ± 3.5
12-weeks	2.0 ± 2.7	3.2 ± 1.8	3.8 ± 1.3	1.9 ± 1.4
6-months	3.2 ± 3.5	2.7 ± 1.9	3.7 ± 2.3	1.9 ± 3.3
1-year	2.3 ± 2.6	2.4 ± 2.7	3.0 ± 1.9	2.4 ± 3.0
2-year	2.3 ± 1.7	2.9 ± 3.0	3.5 ± 2.6	4.7 ± 5.1
Overall				<b>0.599</b>
<b>ODI</b>				
Preoperative	30.0 ± 7.1	40.0 ± 7.2	-	<b>0.013</b>
6-weeks	21.2 ± 10.0	18.3 ± 10.1	37.8 ± 18.8	12.0 ± 15.7
12-weeks	17.1 ± 10.2	21.2 ± 12.4	38.0 ± 18.9	12.4 ± 16.3
6-months	21.0 ± 10.9	13.8 ± 12.9	34.3 ± 12.9	15.3 ± 14.7
1-year	18.0 ± 9.1	14.0 ± 12.9	34.0 ± 21.0	23.2 ± 22.2
2-year	18.1 ± 11.8	21.1 ± 16.9	14.0 ± 24.2	29.3 ± 47.7
Overall				<b>0.015</b>

\*p-values calculated using unpaired Student's t-test to compare mean PROM scores

**Boldface** indicates statistical significance

**Table 4. Minimum Clinically Important Difference**

PROM	Private Insurance % (n=135)	Workers' Compensation % (n=14)	*p-value
<b>ODI</b>			
6-weeks	72.5%	70.0%	0.824
12-weeks	73.2%	50.0%	0.067
6-months	63.9%	68.8%	0.734
1-year	58.8%	87.5%	0.152
2-year	81.8%	66.7%	0.571
Overall	77.6%	69.6%	0.414
<b>PROMIS PF</b>			
6-weeks	56.1%	21.4%	<b>0.016</b>
12-weeks	70.4%	55.6%	0.377
6-months	59.5%	50.0%	0.584
1-year	67.6%	66.7%	0.959
2-year	80.0%	60.0%	0.324
Overall	80.0%	83.3%	0.742
<b>SF-12 PCS</b>			
6-weeks	63.9%	52.4%	0.328
12-weeks	71.2%	50.0%	0.159
6-months	65.9%	54.6%	0.478
1-year	71.4%	71.4%	1.000
2-year	82.8%	33.3%	<b>0.049</b>
Overall	86.8%	72.9%	0.107
<b>SF-12 MCS</b>			
6-weeks	31.4%	19.1%	0.263
12-weeks	26.9%	8.3%	0.171
6-months	36.2%	27.3%	0.576
1-year	34.3%	28.6%	0.770
2-year	17.2%	0.0%	0.434
Overall	39.4%	40.9%	0.897
<b>VAS Back</b>			
6-weeks	56.1%	65.0%	0.464
12-weeks	64.2%	61.1%	0.817
6-months	47.2%	62.5%	0.309
1-year	64.7%	62.5%	0.915
2-year	54.6%	66.7%	0.707
Overall	63.2%	73.9%	0.329
<b>VAS Leg</b>			
6-weeks	34.7%	20.0%	0.200
12-weeks	30.2%	16.7%	0.265
6-months	36.1%	12.5%	0.083
1-year	17.7%	37.5%	0.278
2-year	43.4%	34.8%	0.448
Overall	69.5%	58.1%	0.281

\*p-values calculated via chi square analysis

**Boldface** indicates statistical significance